

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
04536/018001

In Re Application Of: **Eiji NAKATA**

Serial No.

Filing Date

Examiner

Group Art Unit

Title: **DISC DRIVE APPARATUS**

Address to:

**Assistant Commissioner for Patents
Washington, D.C. 20231**

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).



22511

PATENT TRADEMARK OFFICE

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0591 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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- ☒ Charge any additional fee required.

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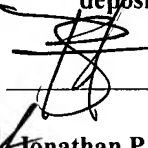
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Dated: 7/18/03

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Signature
Jonathan P. Osha, Reg. No. 33,986
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Applicant(s): Eiji NAKATA

Docket No.

04536/018001

Serial No.

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Examiner

Group Art Unit

Invention: DISC DRIVE APPARATUS

I hereby certify that this **Information Disclosure Statement and PTO Form 1449***(Identify type of correspondence)*

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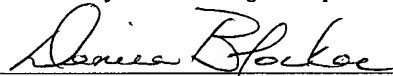
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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

04556/018001

Applicant(s)

Eiji NAKATA

Filing Date

Group Art Unit

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	US 2002/0007447 A1	01/17/2002	Oue	711	162	07/13/2001

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	B1	2002-32198	01/31/2002	Japan	G06F	3/06		✓
	B2	2002-23965	01/25/2002	Japan	G06F	3/06		✓
	B3	2001-135010	05/18/2001	Japan	G11B	19/02		✓
	B4	2000-81978	03/21/2000	Japan	G06F	9/445		✓
	B5	9-146713	06/06/1997	Japan	G06F	3/06		✓

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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INFORMATION DISCLOSURE CITATION
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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	B6	61-70201	05/14/1986	Japan	G05B	9/02		✓

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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